

## **Attachment J-3**

### **Requirements Sources and Implementing Documents**

### List A. Applicable Federal, State, and Local Regulations

The federal, state, and local regulations found in the Contract constitute List A, *Applicable Federal, State, and Local Regulations*, referenced in the Section H clause *Laws, Regulations, and DOE Directives*. Omission of any applicable law or regulation from the Contract does not affect the obligation of the Contractor to comply with such law or regulation. List B below contains a list of applicable DOE Directives that are required for this Contract.

### List B. Applicable DOE Directives

The DOE directives listed in the table below contain requirements relevant to the scope of work under this contract. In most cases, the requirements applicable to the contractor are contained in a Contractor Requirements Document (CRD) attached to the DOE directive. The Contractor is encouraged to continuously evaluate the work scope and contract requirements for opportunities to improve efficiency or creativity and propose alternative methods to those specified in the DOE directives.

**Table J-3.1 Directives, Regulations, Policies, and Standards**

Directive No.	Directive Title
DOE O 140.1A	Interface with the Defense Nuclear Facilities Safety Board
DOE P 140.1*	Natural Resource Damage Assessment Cooperation and Integration
DOE P 141.1*	Department of Energy Management of Cultural Resources
DOE O 142.2A Admin Chg 1	Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency
DOE M 142.2-1 Admin Chg 1	Manual for Implementation of the Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency
DOE O 142.3B Chg 1 (LtdChg)	Unclassified Foreign National Access Program  <b>Clarification for DOE O 142.3B, Chg 1 (LtdChg):</b> <i>Since safeguards and security functions for the Idaho Cleanup Project (ICP) Contract are largely performed by the prime operating contractor for the Idaho National Laboratory (INL), the Contractor will participate with the INL contractor in activities necessary to conform with the NE implementation methodology. Primarily, this includes continuity of operations under the requirements of DOE O 142.3A, Chg 2, as established by the INL contractor's implementation approach.</i>
DOE O 144.1 Admin Chg 1	Department of Energy American Indian Tribal Government Interactions and Policy
DOE O 150.1B	Continuity Programs

Directive No.	Directive Title
DOE O 151.1D, Chg 1 (MinChg)	<p>Comprehensive Emergency Management System</p> <p><b>Clarification for DOE O 151.1D, Chg 1</b></p> <p><i>Building evacuation exercises at the Idaho National Laboratory (INL) are defined as evacuation drills. The term exercise implies having specific objectives and full documentation (e.g., a scenario package that includes objectives, scope, timelines, injects, controller instructions, and evaluation criteria). Drills have specific objectives and documentation but are not formally evaluated using the demonstration criteria and points of review. Drills provide supervised, “hands-on” training and are documented informally.</i></p> <p><i>Since the INL site is comprised of multiple facilities, the facility-specific requirement for annual exercises will be implemented on a site-wide basis. One site-wide exercise will be conducted annually with a specific facility identified and rotated such that each facility will be the initiating facility once every six years. Operational circumstances will be used to determine the initiating facility.</i></p> <p><i>The facility-specific requirement that all facilities must prepare an Emergency Public Information Plan and that the same plan can cover multiple facilities will be interpreted as including a site-wide plan and implemented on that basis.</i></p>
DOE O 153.1A	<p>Departmental Nuclear Emergency Support Team Capabilities</p> <p><b>Clarification for DOE O 153.1A</b></p> <p><i>The Contractor is required to comply with Sections 14 and 15 only of the CRD, which reads:</i></p> <p>14. “When there is a loss of control of known or suspected uncontrolled radioactive materials and taken off-site, the affected Radiological Assistance Program (RAP) Region must be notified. RAP is DOE/NNSA’s coordination asset for off-site responses and will conduct radiation monitoring to support the event, as required.”</p> <p>15. “In the event of an incident or accident involving a nuclear weapon, special nuclear material, or classified components, contractors must cooperate with DOE/NNSA in establishing and maintaining the appropriate Temporary Limited Area (TLA) within an established National Security Area or National Defense Area to safeguard classified information (to include Restricted Data), equipment, and material.”</p>
DOE O 200.1A Chg 2 (LtdChg)	Information Technology Management
DOE O 205.1D	Department of Energy Cyber Security Program
DOE P 205.1*	Departmental Cyber Security Management Policy
DOE O 206.1A	Department of Energy Privacy Program
DOE O 206.2 Chg 1 (LtdChg)	Identity, Credential, and Access Management (ICAM)
DOE O 210.2A	DOE Corporate Operating Experience Program
DOE O 221.1B	Reporting Fraud, Waste, and Abuse to the Office of Inspector General
DOE O 221.2A	Cooperation with the Office of Inspector General

Directive No.	Directive Title
DOE O 225.1B	Accident Investigations
DOE O 226.1B Chg 1 (AdminChg)	Implementation of Department of Energy Oversight Policy
DOE P 226.2*	Policy for Federal Oversight and Contractor Assurance Systems
DOE O 227.1A Chg. 1 (AdminChg)	Independent Oversight Program
DOE O 231.1B Admin Chg 1	Environmental, Safety, and Health Reporting
DOE O 232.2A, Chg 1 (MinChg)	Occurrence Reporting and Processing of Operations Information
DOE O 241.1B Chg 1 (AdminChg)	Scientific and Technical Information Management
DOE O 243.1C	<p>Records Management Program</p> <p><b><i>Clarification for DOE O 243.1C</i></b></p> <p>CRD Section, Requirement 4, second sentence exclusion:  <i>“Plan to consolidate or close agency-operated records storage facilities and transfer holdings for storage at Federal Records Centers or NARA-certified commercial records storage facilities.”</i></p>
DOE O 252.1A Admin Chg 1	Technical Standards Program
DOE O 350.1 Chg 7 (LtdChg)	Contractor Human Resource Management Programs
DOE O 350.3 Chg1 (MinChg)	Labor Standards Compliance, Contractor Labor Relations, and Contractor Workforce Restructuring Programs
DOE P 364.1*	Health and Safety Training Reciprocity
DOE O 410.2 Admin Chg 1	Management of Nuclear Materials
DOE O 413.1B	Internal Control Program
DOE O 413.3B Chg 7 (LtdChg)	Program and Project Management for the Acquisition of Capital Assets
DOE O 414.1D Chg 2 (LtdChg)	Quality Assurance
DOE O 415.1 Chg 2	Information Technology Project Management
DOE P 420.1*	Department of Energy Nuclear Safety Policy
DOE O 420.1C, Chg3 (LtdChg)	Facility Safety
DOE O 422.1 Chg 4 (MinChg)	Conduct of Operations

Directive No.	Directive Title
DOE O 425.1D Chg 2 (MinChg)	Verification of Readiness to Startup or Restart Nuclear Facilities
DOE O 426.2 Chg 1 (AdminChg)	Personnel Selection, Training, Qualification and Certification Requirements for DOE Nuclear Facilities
DOE O 430.1C Chg 2 (AdminChg)	Real Property Asset Management (in accordance with Section H.65)
DOE O 433.1B Chg 1 (AdminChg)	Maintenance Management Program for DOE Nuclear Facilities
DOE O 435.1 Chg 2 (AdminChg)	Radioactive Waste Management
DOE M 435.1-1 Chg 2 (AdminChg)	Radioactive Waste Management Manual
DOE N 435.1	Contact-Handled and Remote-Handled Transuranic Waste Packaging
DOE O 436.1	Departmental Sustainability
DOE O 440.2C Chg 3 (LtdChg).	Aviation Management and Safety
DOE O 442.1B	Department of Energy Employee Concerns Program
DOE O 442.2 Chg 1 (PgChg)	Differing Professional Opinions for Technical Issues Involving Environment, Safety and Health
DOE P 444.1*	Preventing and Responding to all Forms of Violence in the Workplace
DOE P 450.4A* Chg 1 (MinChg)	Integrated Safety Management Policy
DOE P 451.1*	National Environmental Policy Act Compliance Program
DOE O 458.1 Chg 4 (LtdChg)	Radiation Protection of the Public and the Environment
DOE O 460.1D Chg 1 (LtdChg)	Hazardous Materials Packaging and Transportation Safety
DOE O 460.2B	Departmental Materials Transportation Management
DOE P 470.1B*	Safeguards and Security Program

Directive No.	Directive Title
DOE O 470.3C Chg 2	<p>Design Basis Threat (DBT) Order</p> <p><b>Clarification for DOE O 470.3C Chg 2</b></p> <p><i>The ICP contractor shall continue to operate under DOE M 470.4-6 and DOE O 470.3B until such time as implementation plans to DOE O 474.2A and DOE O 470.3C Chg 2 can be fully funded and completed.</i></p> <p><i>Background: The Office of Environmental Management directed implementation of DOE O 474.2A in accordance with the Office of Nuclear Energy approved strategy (memorandum from James Hutton, Deputy Assistant Secretary for Safety, Security, and Quality Programs to John Zimmerman, Deputy Manager for Idaho Cleanup Project, dated April 19, 2016). Additionally, the Assistant Secretary for the Office of Nuclear Energy (NE-1) approved implementation of 470.3C to be done concurrently with DOE O 474.2A implementation.</i></p>
DOE O 470.4B Chg 3 (LtdChg)	Safeguards and Security Program
DOE O 470.5	Insider Threat Program
DOE O 470.6 Chg 1 (MinChg)	Technical Security Program
DOE O 471.1B	Identification and Protection of Unclassified Controlled Nuclear Information
DOE O 471.3 Chg 1 (AdminChg)	Identifying and Protecting Official Use Only Information
DOE M 471.3-1 Chg 1 (AdminChg)	Manual for Identifying and Protecting Official Use Only Information
DOE O 471.6 Chg 4 (LtdChg)	Information Security
DOE O 472.2A	Personnel Security
DOE O 473.3A Chg 1 (MinChg)	Protection Program Operations
DOE O 474.2A	<p>Nuclear Material Control and Accountability</p> <p><b>Clarification for DOE O 474.2A</b></p> <p><i>Since safeguards and security functions for the Idaho Cleanup Project (ICP) Contract are largely performed by the prime operating contractor for the Idaho National Laboratory (INL), the Contractor will participate with the INL contractor in activities necessary to conform with the NE implementation methodology. Primarily, this includes continuity of operations under the requirements of DOE M 470.4-6 Chg 1, as established by the INL contractor's implementation approach.</i></p>
DOE O 475.1	Counterintelligence Program
DOE O 475.2B	Identifying Classified Information
DOE O 522.1A	Pricing of Departmental Materials and Services
DOE O 550.1 Chg 1 (LtdChg)	Official Travel

\* DOE Policies provide guidance for contractor programs and do not contain contractual requirements.

**Table J-3.2 Implementing Documents**

Document No.	Title
N/A	1991 Comprehensive Environmental Response Compensation and Liability Act (CERCLA)-based Federal Facility Agreement and Consent Order (FFA/CO)
N/A	1995 Idaho Settlement Agreement (ISA)
INL-STP, Revision 40	Federal Facility Compliance Act based Site Treatment Plan (STP)
N/A	Agreement to Implement U.S. District Court Order dated May 25, 2006 (July 1, 2008)
N/A	Navy Addendum to 1995 Settlement Agreement
N/A	Memorandum of Agreement Concerning Receipt, Storage, and Handling of Research Quantities of Commercial Spent Nuclear Fuel at the Idaho National Laboratory (January 6, 2011)
N/A	Supplemental Agreement Concerning Conditional Waiver of Sections D.2.e and K1 of 1995 Settlement Agreement (November 6, 2019)
N/A	Agreement Concerning Handling of Spent Nuclear Fuel Generated by the Advanced Test Reactor (February 4, 2020)
MB04294B-1	Migratory Bird Treaty Act, Special Purpose – Miscellaneous Permit
160216	Wildlife Collection/Banding/Possession Permit
EPA ID# ID4890008952	Idaho National Laboratory, Volume 1-22 - HWMA/RCRA Part A Permit Application for the INL, Idaho Falls, ID
EPA ID# ID4890008952	Idaho National Laboratory, Advanced Mixed Waste Treatment Project (AMWTP)
P-2015.0023	Permit to Construct for the INL Site
P-2008.0199	Permit to Construct: INTEC Integrated Waste Treatment Unit (IWTU)
M-130-06	INTEC Wastewater Reuse Permit: New Percolation Ponds
TS-ETSD-01-005	Risk-Based Storage Approval for PCB Remediation Waste at the INEEL RWMC TSA-RE (TS-ETSD-01-005 and the application is document INEEL/EXT-99-00637-R2)
OCE-164	TSCA Risk-Based Disposal Approval to Process Radiologically Contaminated Liquids Containing Polychlorinated Biphenyls (OCE-164)
OCE-084	TSCA Risk-Based Approval for Management of transuranic PCB Remediation Waste at the AMWTP Facility (OCE-084)
CCN 314217	Risk-Based Storage Approval for PCB Remediation Waste at the INEEL RWMC TSA-RE (CCN 314217)
CCN 321031	Risk-Based Disposal Approval for Management of PCB Remediation Waste Contaminated with TRU Radioisotopes - ICP first request for approval to process drums with liquid greater than 10% by volume in WMF-1617 (ARP V). (CCN 321031)
CCN 323672)	Risk-Based Disposal Approval for Management of PCB Remediation Waste Contaminated with TRU Radioisotopes - First Amendment (CCN 323672)
DOE/ID-10587	Quality Assurance Project Plan (QAPjP), Rev. 8, March 2004

Document No.	Title
DOE/ID-11518	INL Site Community Involvement Plan, Rev. 0, August 2015
DOE/ID-11475	Operable Unit 3-14, Tank Farm Soil and INTEC Groundwater, Phase I and Phase II, Part A Interim Remedial Action Report, Rev. 1, February 2018
DOE/ID-11333	Phase II Part B of the Operable Unit 3 14 Tank Farm Soil and INTEC Groundwater Remedial Design/Remedial Action Work Plan, Rev. 1, October 2013
EDF-10116	Phase II 90% Design Drawings, Rev. 0, March 2012
DOE/ID-11337	Operable Unit 3-14 Tank Farm Soil and INTEC Groundwater Operation and Maintenance Plan, Rev. 4, February 2018
DOE/ID-11389	Phase 1 Remedial Design/Remedial Action Work Plan for Operable Unit 7-13/14, Rev. 2, June 2013
DOE/ID-11482	Operable Unit 7-13/14 Phase 3 Remedial Design Work Plan, Rev. 0, November 2013
DOE/ID-12015	Operable Unit (OU) 7 13/14 Phase 3 Remedial Design, Rev. 0, August 2019
DOE/ID-11359	Record of Decision for Radioactive Waste Management Complex Operable Unit 7-13/14 Rev. 0, October 2008
DOE/ID-11569	Soil Vapor Extraction Rebound Test Plan for Operable Unit 7-13/14, Rev. 0, June 2018
DOE/ID-11568	Long term Monitoring Study for Operable Unit 7-13/14, Rev. 0, May 2018
DE-NE700107	Memorandum of Agreement between the United States Department of Energy, Idaho Operations Office and the Idaho State Historic Preservation Office regarding the Subsurface Disposal Area Cap at the Radioactive Waste Management Area, March 2020
DOE/ID-10660	Final Record of Decision (ROD) Idaho Nuclear Technology & Engineering Center (INTEC) Operable Unit (OU) 3-13, Rev. 0, October 1999
DOE/ID-10984	ICDF Complex Remedial Action Work Plan, Rev. 2, March 2012
DOE/ID-11000	ICDF Complex Operations and Maintenance (O&M) Plan, Rev. 10, June 2019
DOE/ID-10955	Idaho CERCLA Disposal Facility (ICDF) Complex Groundwater Monitoring Plan, Rev. 6, February 2015
DOE/ID-11005	ICDF Operational and Monitoring Sampling and Analysis Plan, Rev 4, October 2018
DOE/ID-10881	ICDF Waste Acceptance Criteria, Rev. 12, December 2013
DOE/NE ID-11175	ICDF Complex Waste Profile and Verification Sample Guidance, Rev. 3, March 2012
INEEL/EXT-01-01318	Health and Safety Plan (HSP or HASP) for Idaho CERCLA Disposal Facility (ICDF), Operations, Rev. 4, October 2007
EDF-ER-286	Engineering Design File (EDF) - Idaho CERCLA Disposal Facility (ICDF) Waste Placement Plan, Rev 4, July 2005
DOE/ID-10139, AMENDMENT	Record of Decision (ROD) Amendment - Technical Support Facility Injection Well TSF-05 and Surrounding Groundwater Contamination TSF-23 and Miscellaneous No Action Sites, Final Remedial Action (RA), Rev. 0, September 2001



Document No.	Title
10139	Record of Decision (ROD) for TSF-05 Injection Well and Surrounding Groundwater Contamination TSF-23 and Miscellaneous No Action Sites Final Remedial Action (RA), Rev. 0, 1995
DOE/ID-11444	ISB Rebound Test Plan for TAN Groundwater Remediation, Rev. 4, April 2017
DOE/ID-11412	Monitoring Plan for Test Area North, Operable Unit 1-07B, Rev 4, January 2019
DOE/ID-11558	Operations and Maintenance Plan for OU 1-07B, TAN Groundwater Remediation, Rev. 0, December 2016
INEEL/EXT-98-00267	Waste Management Plan for TAN Final Groundwater Remediation OU 1-07B, Rev. 7, December 2010
DOE/ID-11347	Closure Plan and Post-Closure Care Plan for the Test Area North Demolition Landfill at the Idaho National Laboratory, Rev. 0, February 2008
DOE/ID-10586	Final Record of Decision (ROD) for Test Reactor Area (TRA) For Operable Unit (OU) 2-13 At Idaho National Engineering & Environmental Laboratory (INEEL), Rev. 0, December 1997
DOE/ID-10626	Groundwater Monitoring Plan for the Advanced Test Reactor Complex OU 2-13, Rev. 9, September 2016
DOE/ID-11296	Record of Decision (ROD) for Tank Farm Soil and Idaho Nuclear Technology and Engineering Center (INTEC) Groundwater, Operable Unit (OU) 3-14, Rev. 0, May 2007
DOE/ID-11333	OU 3-14 Tank Farm Soil and INTEC Groundwater Remedial Design/Remedial Action (RD/RA) Work Plan, Rev. 1, October 2013
DOE/ID-11334	OU 3-14 Tank Farm Soil and INTEC Groundwater Long Term Monitoring Plan, Rev. 3, June 2018
DOE/ID-11337	OU 3-14 Tank Farm Soil and INTEC Groundwater Operation and Maintenance Plan, Rev. 4, February 2018
DOE/ID-11335	OU 3-14 Tank Farm Soil and INTEC Groundwater Waste Management Plan, Rev. 0, June 2008
10146	Record of Decision (ROD) For Central Facilities Area (CFA) Landfill I, II, & III Operable Unit (OU) 4-12 & No Action Sites (OU 4-03), Rev. 0, October 1995
DOE/ID-11374	Long-Term Monitoring and Field Sampling Plan for the Central Facilities Area Landfills I, II, and III under OU 4-12, Rev. 2, July 2018
DOE/ID-11503	Field Sampling Plan for Operable Unit 7-13/14 Vadose Zone Monitoring, Rev. 0, April 2014
DOE/ID-11492	Field Sampling Plan for OU 7-13/14 Aquifer Monitoring, Rev. 1, August 2014
DOE/ID-11393	OU 7-13/14 Operations and Maintenance Plan, Rev. 2, March 2017
ICP/EXT-04-00209	Health and Safety Plan for the Accelerated Retrieval Project, Rev. 15, July 2010
DOE/ID-11385	Operable Unit 10-08 Record of Decision for Site-Wide Groundwater, Miscellaneous Sites, And Future Sites, Rev. 0, September 2009
DOE/ID-11042	INL Site-Wide Institutional Controls, and Operations and Maintenance Plan for CERCLA Response Actions, Rev. 10, December 2017

Document No.	Title
DOE/ID-11420	Post Record of Decision Groundwater Monitoring and Field Sampling Plan for Operable Unit 10-08, Rev. 2, October 2016
DOE/ID-11418	Operable Unit 10-08 Remedial Design/Remedial Action Work Plan, Rev. 0, August 2010
RPT-576	Interim Closure Plan for The RWMC Active Low-Level Waste Disposal Facility at the Idaho National Laboratory Site, Rev. 4, April 2016
DOE/ID-11273	Idaho Hazardous Waste Management Act/Resource Conservation and Recovery Act Closure Plan for Idaho Nuclear Technology and Engineering Center Tanks WM-187, WM-188, WM-189, and WM-190, and all Remaining Tank Farm Facility Resource Conservation and Recovery Act Piping, Rev. 4, October 2012
NM 4890139088 TSDF WIPP	NM 4890139088 TSDF WIPP WAP document available at <a href="http://www.wipp.energy.gov/Documents_All_Number.htm">http://www.wipp.energy.gov/Documents_All_Number.htm</a>
DOE/ID-11389	OU 7 13/14 Phase 1 RD/RA Work Plan, Rev. 2, Date June 2013
PLN-11002	INL Site Security Plan, November 2019
PLN-5686	ICP Core Implementation Plan 10 CFR 851 Technical Amendment
RPT-1649	Walking and Working Surfaces Assessment Report, Revision 0, June 2018