

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT			1. CONTRACT ID CODE DE-AC07-05ID14517	PAGE 1 OF 2 PAGES
2. AMENDMENT/MODIFICATION NO. M011	3. EFFECTIVE DATE See Block 16c	4. REQUISITION/PURCHASE REQ. NO. NOPR	5. PROJECT NO. (If applicable)	
6. ISSUED BY U.S. Department of Energy Idaho Operations Office (NE-ID) Site Services Division 1955 Fremont Avenue Idaho Falls, ID 83401-1221		7. ADMINISTERED BY (If other than Item 6) Cheryl A. Thompson, Contracting Officer (208) 526-5743		
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and Zip Code) Battelle Energy Alliance, LLC P.O. Box 1625 Idaho Falls, ID 83415			9A. AMENDMENT OF SOLICITATION NO.	
CODE			9B. DATED (SEE ITEM 11)	
FACILITY CODE			X 10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC07-05ID14517	
			10B. DATED (SEE ITEM 13) November 9, 2004	

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation as amended, by one of the following methods:

(a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

N/A

**13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS;
IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.**

	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO (Specify authority): THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE-NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (Such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
X	D. OTHER (Specify type of modification and authority): Unilateral Modification in accordance with DEAR 970.1907-1 entitled "Subcontracting plan requirements."


E. IMPORTANT: Contractor **X** is not, is required to sign this document and return copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible)

The purpose of this modification is to incorporate the approved Small Business Subcontracting Plan for the period of 02/01/05 – 09/30/05.

(See Continuation)

Except as provided herein, all terms and conditions of the document referenced in Items 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Cheryl A. Thompson Contracting Officer	
15B. CONTRACTOR/OFFEROR	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA	16C. DATE SIGNED
BY _____ (Signature of person authorized to sign)		BY  (Signature of Contracting Officer)	6/1/05

Part III Section J, Attachment J, Small Business Subcontracting Plan is deleted in its entirety and replaced with the attached approved Small Business Subcontracting Plan (02/01/05 – 09/30/05)
(Cover page plus 7 pages)

All other terms and conditions remain unchanged.

Contract No. DE-ACO7-051D14517
Modification M011
Section J Attachment J

PART III SECTION J, ATTACHMENT J
SMALL BUSINESS SUBCONTRACTING PLAN

***Idaho National Laboratory
 Small Business Subcontracting Plan***

Contractor: Battelle Energy Alliance, LLC
 Address: 2525 Fremont Avenue
 Idaho Falls, ID 83415
 Period of Performance: 02/01/05 — 09/30/05

Battelle Energy Alliance, LLC (BEA) has a strong commitment to the meaningful involvement of small business (SB), HUBZone small business (HSB), small disadvantaged business (SDB), 8(a)-certified small business (8a), women-owned small business (WOSB), veteran-owned small business (VOSB), service-disabled veteran-owned small business (SDVOSB), and Native American small business concerns (NAB) in the operation of the Idaho National Laboratory (INL). BEA supports the small business objectives of the U.S. Government and the Department of Energy (DOE) and recognizes that diversity in subcontracting provides a vital link to the local community, strengthens the economy, and represents best business practices.

In keeping with the above policy, BEA has established this Small Business Subcontracting Plan (Plan). This Plan will remain in effect for the period of performance specified in the contract schedule. However, annual goals will be negotiated and established by written agreement between the Contracting Officer and BEA and will be incorporated into this Plan by letter. In addition, other requirements of this Plan may be negotiated and updated on an annual basis.

(A) Annual Goals: These goals are based on a total estimated and adjusted procurement volume of \$80,000,000 for the period February 1, 2005 through September 30, 2005. The goals reflect the service agreement between BEA and the Idaho Completion Project contractor in which purchasing services for commercial items are provided to BEA. Consequently, the estimated procurement volume does not include any dollar estimate for commercial items. BEA anticipates subcontracting the following percentages and dollars as listed below:

Small Business Category	Percent of Subcontracting Dollars	Dollar Amount
Small Business Concerns	60.0%	\$48,000,000
HUBZone Small Business Concerns	3.0%	\$2,400,000
Small Disadvantaged Business Concerns	15.0%	\$12,000,000
8(a)-Certified Small Business Concerns	5.0%	\$4,000,000
Women-Owned Small Business Concerns	10.0%	\$8,000,000
Veteran-Owned Small Business Concerns	5.0%	\$4,000,000
Service-Disabled Veteran-Owned Small Business Concerns	2.0%	\$1,600,000
Native American Small Business Concerns	3.0%	\$2,400,000

In addition, BEA will give preference to Idaho based businesses by establishing the following goals for Idaho business concerns (IB) as a percentage of total adjusted procurement volume of \$80,000,000:

Idaho Business Goals	Percent of Subcontracting Dollars	Dollar Amount
Idaho Business Concerns (large and small)	70.0%	\$56,000,000

(B) Statement of Total Dollars Planned To Be Subcontracted:

- (1) Total dollars planned to be subcontracted: \$80,000,000
- (2) Total dollars planned to be subcontracted to small business concerns: \$48,000,000
- (3) Total dollars planned to be subcontracted to HUBZone small business concerns: \$2,400,000
- (4) Total dollars planned to be subcontracted to small disadvantaged business concerns: \$12,000,000
- (5) Total dollars planned to be subcontracted to 8(a)-certified small business concerns: \$4,000,000
- (6) Total dollars planned to be subcontracted to women-owned small business concerns: \$8,000,000
- (7) Total dollars planned to be subcontracted to veteran-owned small business concerns: \$4,000,000
- (8) Total dollars planned to be subcontracted to service-disabled veteran-owned small business concerns: \$1,600,000
- (9) Total dollars planned to be subcontracted to Native American small business concerns: \$2,400,000
- (10) Total dollars planned to be subcontracted to Idaho business concerns: \$56,000,000

(C) Principal Types of Supplies and Services to be Subcontracted: The principal products and services to be obtained in support of this Plan are those generally associated with an extremely diverse research and development environment. The business concerns in this Plan will generally supply a major portion of the goods and services listed below.

Supply or Service	SB	HSB	SDB	8a	WOSB	VOSB	SDVOSB	NAB	IB
Information Management	X		X	*	X				X
Regulatory Data Management	X	X	X	X	X				X
Cyber Security/Information Services	X				X				X
Hot Cell Facility Services	X								X
Interpreter/Translation Services	X		X		X	X	*		
Analytical Testing	X		*	*	*				X
Project Management Support	X		X	X	X	X			X
Organizational Development	X		X		X				X
Technical Writing	X		X		X				X
Nuclear Engineering	X		X		X				X
Nuclear Plant Safety	X		X		*				X
RCRA Permit Development	X		X		X		X		X
High Performance Computing	X		X	X					X
Information Technology Planning	X		X	X	X				X
Computer-Based Training	X		X	X					X
Infrastructure Planning Support		X	X		X				
Software	X	X	X	X	X	X	X	X	X
Health Physics and Dosimetry	X	X	X	X	X	X	*		
ES&H Services	X	X	X	X	*	*	*		X
Metal Fabrication Services	X	X	X	X	*	X	X		X
Heavy Equipment Rental	X	X	*	*	*	*	*		X
Construction and A&E Services	X	X	X	X	X	X	X	X	X
Waste Processing	X	X	X	X	*	X	*		
HVAC	X	X	X	X	*	X			
NRC Licensing Support	X			X					X
Records Management Support	X				X				X
Radioactive Waste Management	X					X			X
Design and Engineering Support	X								X
Construction/Upgrades	X	X						X	X

a BEA will make every effort to find appropriate suppliers in these categories.

- (D) **Method Used to Develop Subcontracting Goals:** These goals are based on the best available information and on BEA's commitment to execute the proposed subcontracting strategy in this Plan. In addition, historical subcontracting goals of previous INEEL contractors and subcontracting experience gained at other National Laboratories such as Brookhaven National Laboratory, Pacific Northwest National Laboratory, and Oak Ridge National Laboratory were used. Goals include all dollars awarded with the exception of those dollars awarded to a corporation, company, or subdivision that is an affiliate and to firms outside the U.S.A.

(E) Method Used to Identify Potential Sources: BEA will rely upon the following resources to identify potential small business sources:

- The U.S. Small Business Administration's (SBA) Dynamic Small Business Search database
- The federal government's Central Contractor Registration (CCR) database
- BEA's supplier database, including the Supplier Yellow Pages database maintained by the Pacific Northwest National Laboratory
- State of Idaho businesses databases, e.g., Buy Idaho, and Small Business Development Centers
- Idaho Economic Development Directory
- Historical procurement records from procurement actions where small businesses were successful
- Northwest Minority Business Council and other regional organizations designed to foster small business involvement
- National Minority Purchasing Council
- Professional organizational directories of small businesses
- Utilizing Federal Business Opportunities (FedBizOpps) to publicize significant procurement actions
- National Minority and Women Owned Business Directory
- Participation in regional and national small business and economic development conferences, including the Idaho Governor's Small Business Opportunity Conference, Governor's TechLaunch, Idaho's Association of Cities conference and the annual DOE Small Business Conference.

(F) Indirect Costs: Indirect costs are not included in the above goals.

(G) Administrator of Subcontracting Program: The prime responsibility for administration of the Plan rests with Catherine Salazar, the Small Business Program Manager. Any change in the name of the Small Business Program Manager will be communicated without delay to the Contracting Officer. Responsibilities of the Small Business Program Manager include:

- Serve as BEA's interface with SB/HSB/SDB/8a/WOSB/VOSB/SDVOSB/NAB/IB.
- Maintain business directories from regional minority purchasing councils and other sources to expand and keep current listings of SB/HSB/SDB/8a/WOSB/VOSB/SDVOSB/NAB/IB.
- Participate as the BEA representative in small business trade fairs, specifically directed toward offering opportunities for participants to do business with the INL.
- Attend DOE-sponsored Small Business Program Manager Meetings and participate in the annual DOE Small Business Conference.

- Participate in trade associations, business development organizations, and conferences to locate and identify SB/HSB/SDB/8a/WOSB/VOSB/SDVOSB/NAB/IB.
 - Counsel and discuss subcontracting opportunities with potential SB/HSB/SDB/8a/WOSB/VOSB/SDVOSB/NAB/IB and mentor and arrange appropriate assistance to these firms as required and practicable.
 - Implement an INL Mentor-Protégé program.
 - Implement and manage the BEA "InReach" program to work with INL Directors and Managers to share goals and to identify specific small business opportunities in the technical programs.
 - Provide statistics to BEA management on progress toward established goals and recognition of significant individual performance in this area.
 - Hold periodic training and other meetings with the appropriate acquisition staff on Small Business Programs.
 - Conduct periodic meetings and otherwise communicate with BEA organizational components covering BEA's Small Business Programs.
 - Support DOE and SBA activities as requested.
- (H) Providing Equitable Opportunity:** BEA is committed to offering a fair and equitable opportunity for SB/HSB/SDB/8a/WOSB/VOSB/SDVOSB/NAB/IB to compete for the goods and services required to support ongoing research.
- BEA responds either verbally or in writing to each request received from firms that desire an opportunity to compete for purchase order/subcontract business to the maximum practicable extent.
 - A computerized listing of SB/HSB/SDB/8a/WOSB/VOSB/SDVOSB/NAB/IB is maintained within the procurement system supplier database.
 - The Small Business Program Manager may participate in the screening of purchase requisitions and may add SB/HSB/SDB/8a/WOSB/VOSB/SDVOSB/NAB/IB as potential sources.
 - Staff members are encouraged by the Small Business Program Manager to use the SBA database and CCR for locating SB/HSB/SDB/8a/WOSB/VOSB/SDVOSB/NAB/IB.

- Staff will post written solicitations to the extent practicable on BEA's website to maximize exposure to SB/HSB/SDB/8a/WOSB/VOSB/SDVOSB/NAB/IB.
- When appropriate, procurements may be synopsisized in FedBizOpps in an effort to locate additional qualified SB/HSB/SDB/8a/WOSB/VOSB/SDVOSB/NAB/IB for participation.

- (I) **Flow-Down Provisions:** Each purchase order/subcontract action placed in furtherance of the Prime Contract will include the applicable clauses relating to small business subcontracting at the prescribed thresholds.

Lower-tier subcontracting plans from large business concerns will be reviewed and approved by BEA's Small Business Program Manager. A point of contact is available for lower-tier subcontractor plan administrators to provide assistance in identifying potential SB/HSB/SDB/8a/WOSB/VOSB/SDVOSB/NAB/IB and establish semi-annual reporting requirements.

BEA's approved purchasing system contains a requirement which instructs staff to include in all solicitations for negotiated procurements amounting to \$500,000, or more, and which will offer subcontracting opportunities, the requirement to develop and adopt a Small Business Subcontracting Plan as required by the Prime Contract.

- (J) **Periodic Reporting and Cooperation with the DOE and the SBA:** BEA will submit such periodic reports, as may be required by DOE or the SBA, in order to determine the extent of compliance with this Plan.

BEA will cooperate in any studies or surveys conducted by DOE or SBA, by furnishing requested available statistical data.

BEA will submit Standard Form 294, Subcontracting Report for Individual Contracts, and/or Standard Form 295, Summary Subcontract Report, in accordance with the instructions on the forms or as provided by DOE and will ensure that its subcontractors also submit Standard Forms 294 and 295.

- (K) **Record Keeping:** Computerized reports are used to track progress toward achievement of goals. These reports are used to prepare monthly and quarterly reports (more frequent if requested) summarizing activity and progress related to compliance with the Plan.

In support of this Plan, BEA will maintain the following records:

(1) Source lists (e.g., CCR and SBA's database), guides and other data that identify SB/HSB/SDB/8a/WOSB/VOSB/SDVOSB/NAB/IB.

(2) Organizations contacted to locate

SB/HSB/SDB/8a/WOSB/VOSB/SDVOSB/NAB/IB.

(3) Records on each solicitation resulting in an award of more than \$100,000, indicating:

- Whether small business concerns were solicited and, if not, why not;
- Whether veteran-owned small business concerns were solicited and, if not, why not;
- Whether service-disabled veteran-owned small business concerns were solicited and, if not, why not;
- Whether HUBZone small business concerns were solicited and, if not, why not;
- Whether small disadvantaged business concerns were solicited and, if not, why not;
- Whether women-owned small business concerns were solicited and, if not, why not; and
- If applicable, the reason award was not made to a small business concern.

(4) Records of any outreach efforts to contact:

- Trade associations
- Business and economic development organizations
- Conferences and trade fairs to locate SB/HSB/SDBD/8a/WOSB/VOSB/SDVOSB/NAB/IB
- Veteran's service organizations.

(5) Records of internal guidance and encouragement provided BEA personnel through:

- Workshops, seminars, training, etc.
- Monitoring, internal programs (e.g., InReach)
- Monitoring performance to evaluate compliance with the program's requirements.

(6) On a contract-by-contract basis, records to support award data submitted by the offeror to BEA including the name, address, and business size of each subcontractor.